4-9-04

			Appro	PTO/SB/21 (08-03 ved for use through 07/31/2006. OMB 0651-0031
What the Pane	nund Reduction Act of 1995 no	persons are required to	U.S. Patent and Tra	demark Office: U.S. DEPARTMENT OF COMMERCE formation unless it displays a valid OMB control number
dipaer trie rape	WOIK REDUCTION ACT OF 1995, NO	persons are required to	Application Number	10/748,760
TRANSMITTAL		Filing Date	December 30, 2003	
;	<b>FORM</b>		First Named Inventor	Craig R. Watkins
(to be us	ed for all correspondence after	r initial filing)	Art Unit	N/A
			Examiner Name	Not Yet Assigned
Total Numbe	er of Pages in This Submiss	sion 1	Attorney Docket Numb	er 08212/0200354-US0
	EN	ICLOSURES	(Check all that app	ly)
Fee Transi	mittal Form	Drawing(s)		After Allowance Communication to Group
Fee	Attached	Licensing-rel	ated Papers	Appeal Communication to Board of Appeals and Interferences
Amendme	Amendment/Reply Petiti			Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
After	Final	Petition to Convert to a Provisional Application		Proprietary Information
Affid	avits/declaration(s)		mey, Revocation rrespondence Address	Status Letter
Extension	of Time Request	Terminal Disc	claimer	Other Enclosure(s) (please identify below):
Express Al	bandonment Request	Request for	Refund	
X Information	n Disclosure Statement	CD, Number	of CD(s)	
Certified C	opy of Priority (s)			
	to Missing Parts/ Application	Remarks		
Resp	oonse to Missing Parts			
unde	er 37 CFR 1.52 or 1.53			
	SIGNATI	JRE OF APPLICA	NT, ATTORNEY, OR	AGENT
Firm or Individual name	DARBY & DARBY P Jamie L. Wiegand -			
Signature	Mieja	nd		
Date	April 8, 2004			

ation No. (if known): 10/748,760

Attorney Docket No.: 08212/0200354-US0

## **Certificate of Express Mailing Under 37 CFR 1.10**

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail, Airbill No. EV398895304US in an envelope addressed to:

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

on April 8, 2004

Date

Rachel M. Chapman

Typed or printed name of person signing Certificate

Note:

Each paper must have its own certificate of mailing, or this certificate must identify each submitted paper.

Return Receipt Postcard (1)

Certificate of Express Mailing (1 page)

Information Disclosure Statement Transmittal (1 page)

Information Disclosure Statement (2 pages)

Information Disclosure Statement (Citation) by Applicant -

PTO/SB/08 (1 page)

Cited References (2)



Docket No.: 08212/0200354-US0

(PATENT)

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: Craig R. Watkins et al.

Application No.: 10/748,760

Confirmation No.: N/A

Filed: December 30, 2003

Art Unit: N/A

For:

METHOD AND SYSTEM FOR

Examiner: Not Yet Assigned

**AUTHENTICATION USING** 

INFRASTRUCTURELESS CERTIFICATES

## INFORMATION DISCLOSURE STATEMENT (IDS)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 CFR 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO/SB/08. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Information Disclosure Statement is filed before the mailing date of a first Office Action on the merits as far as is known to the undersigned (37 CFR 1.97(b)(3)).

A copy of each document on the PTO/SB/08 is attached.

A concise explanation of relevance of the items listed on form PTO/SB/08 is given for each listed item.

Application No.: 10/748,760 2 Docket No.: 08212/0200354-US0

In accordance with 37 CFR 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56(a) exists. In accordance with 37 CFR 1.97(h), the filing of this Information Disclosure statement shall not be construed to be an admission that any patent, publication or other information referred to therein is "prior art" for this invention unless specifically designated as such.

It is submitted that the Information Disclosure Statement is in compliance with 37 CFR 1.98 and the Examiner is respectfully requested to consider the listed references.

The Commissioner is authorized to charge any deficiency of up to \$300.00 or credit any excess in this fee to Deposit Account No. 04-0100.

Dated: April 8, 2004

Respectfully submitted,

Jamie L. Wiegand

Registration No.: 52,361

DARBY & DARBY P.C.

P.O. Box 5257

New York, New York 10150-5257

(206) 262-8900

(212) 753-6237 (Fax)

Attorneys/Agents For Applicant



PTO/SB/08a/b (08-03)
Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Sut	Substitute for form 1449A/B/PTO		Complete if Known		
				Application Number	10/748,760
INFORMATION DISCLOSURE			SCLOSURE	Filing Date	December 30, 2003
l s	STATEMENT BY APPLICANT			First Named Inventor	Craig R. Watkins
				Art Unit	N/A
(Use as many sheets as necessary)			necessary)	Examiner Name	Not Yet Assigned
Sheet	1	of	1	Attorney Docket Number	08212/0200354-US0

			U.S. PA	TENT DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2</sup> ( if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
				-	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Foreign Patent Document  Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at <a href="www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	CA	T. Dierks Certicom, C. Allen Certicom, "The TLS Protocol," Network Working Group, January 1999, pp. 1-75	
	СВ	R. Housley (Spyrus), W. Ford (VeriSign), W. Polk (NIST), D. Solo (Citicorp), "Internet X.509 Public Key Infrastructure, <i>Network Working Group</i> , January 1999, pp. 1-58	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

{S:\082	Date	
12/02/01	Considered	

<sup>&</sup>lt;sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.